



Express Mail No.: EL 47703306 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Chan et al.

Serial No.: 09/783,472

Art Unit: 1743

Filed: February 14, 2001

Examiner: To be assigned

For: METHODS AND APPARATUSES FOR  
CHARACTERIZATION OF SINGLE  
POLYMERS

Attorney Docket No: 10066-021

RESPONSE TO NOTICE TO FILE MISSING PARTS OF  
NONPROVISIONAL APPLICATION

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

In response to a Notice to File Missing Parts of Nonprovisional Application ("Notice"), mailed May 24, 2001, in connection with the above-identified application, Applicants submit herewith: (a) a copy of the Notice; (b) a Declaration, in 3 counterparts, executed by the inventors Eugene Chan on July 23, 2001, Lance C. Gleich on July 31, 2001, and Parris S. Wellman on September 18, 2001, respectively; and (c) formal drawings consisting of forty-six (46) sheets of drawings, corresponding to Figures 1 to 39. Also submitted are: a Petition for Extension of Time (in duplicate), with the appropriate fee; a Power of Attorney by Assignee executed by David B. Bernstein; a Transmittal under 37 C.F.R. § 1.27(c) of Verified Statement Claiming Small Entity Status; a Verified Statement Claiming Small Entity Status executed by Eugene Chan on behalf of the assignee, U.S. Genomics, Inc.; and an Associate Power of Attorney.

Pursuant to 37 C.F.R. §1.16(e), the surcharge required for filing of the Declaration is believed to be \$65.00 (small entity). Please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150, along with any deficiencies in fees due. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Date October 24, 2001

Adriane M. Antler 32,605  
Adriane M. Antler (Reg. No.)

**PENNIE & EDMONDS LLP**  
1155 Avenue of the Americas  
New York, New York 10036-2711  
(212) 790-9090



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/783,472 ✓	02/14/2001	Parris Wellman	10066-021

20583  
 PENNIE AND EDMONDS  
 1155 AVENUE OF THE AMERICAS  
 NEW YORK, NY 100362711



CONFIRMATION NO. 1318

## FORMALITIES LETTER



\*OC000000006112339\*

Date Mailed: 05/24/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
 Initial Patent Examination Division (703) 308-1202

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PART 2 - COPY TO BE RETURNED WITH RESPONSE



Express Mail No.: EL 4 US

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For: METHODS AND APPARATUSES FOR  
CHARACTERIZATION OF SINGLE  
POLYMERS

Attorney Docket No: 10066-021

TRANSMITTAL UNDER 37 C.F.R. § 1.27(c) OF VERIFIED STATEMENT  
CLAIMING SMALL ENTITY STATUS

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

It is respectfully requested that the above-identified patent application be accorded Small Entity Status in view of the Verified Statement Claiming Small Entity Status which is submitted herewith. The Verified Statement has been executed by Eugene Chan, Chief Executive Officer of U.S. Genomics, Inc., on July 23, 2001 and declares that U.S. Genomics, Inc., the sole assignee of the above-identified application, qualifies as a small business concern under 37 C.F.R. §§ 1.27(a) and (c). An assignment to U.S. Genomics, Inc., is being submitted for recordation on even date herewith.

Applicants respectfully request that this document be made of record in the file history of the above-identified application, and that the application be accorded Small Entity Status.

Respectfully submitted,

Date October 24, 2001

Adriane M. Antler 32,605  
Adriane M. Antler

(Reg. No.)

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New York, New York 10036-2711  
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Enclosure